PERISCOPE
ADVISORY GROUP, PLLC

Focused Reviews, Assessments & Trainings for the risks in front of you

Offered by Periscope Advisory Group, PLLC

Partner-led reviews, assessments, testing, and training across artificial intelligence, privacy, cybersecurity, controls, and audit oversight. Each engagement starts with a clear scope, timeline, and proposal built around your organization.

01 AI Governance & Readiness

Know where AI is used, what it touches, and whether the oversight around it holds up.

- **AI Review.** Independent review of AI tools and models in use: how each was approved, what data it can reach, how output is checked, and who is accountable.
- **AI Readiness.** Governance, policy, data, and skills assessed before you adopt or expand AI, with a prioritized path to responsible use.
- **AI Risk Assessment.** AI-related risks identified and rated across use cases, vendors, and data flows to set the right level of oversight.

02 Data Governance & Privacy

Understand the personal information you hold and test your program against the rules that apply to it.

- **Data Governance & Privacy.** Review of data ownership, classification, retention, and handling against your policies and legal obligations.
- **California Consumer Privacy Act (CCPA) Assessment.** How California residents' personal information is handled: notices, consumer requests, service-provider terms, and applicable exemptions.
- **GLBA Assessment.** Your information security program and privacy notices tested against the Gramm-Leach-Bliley Act Safeguards and Privacy Rules.

03 Cybersecurity Testing

Find exposures the way an attacker would and confirm your people and cloud controls stop them.

- **Cloud Security.** Review of cloud configuration, identity and access, logging, and the controls you own under the shared-responsibility model.
- **Social Engineering.** Phishing, pretext calling, and related exercises that measure how staff respond and where training should focus.
- **Vulnerability Scanning and Assessment.** Internal and external scanning with validated, risk-ranked findings and practical remediation guidance.

04 Risk Assessments

A documented, defensible view of technology risk to guide the audit plan and board oversight.

- **Cybersecurity Risk Assessment.** Threats, controls, and inherent and residual risk evaluated across your environment, aligned to recognized frameworks such as the NIST Cybersecurity Framework.
- **IT Audit Risk Assessment.** Technology areas ranked by risk to support a multi-year IT audit plan that puts coverage where it matters most.

05 Controls, Access & Fraud

Design controls that work in practice, confirm the right access, and prepare for fraud before it happens.

- **Process Risk & Control Design.** Key processes walked through, risks mapped, and controls designed or improved to be practical to operate and straightforward to test.
- **User Access Review.** Access to critical systems validated against job responsibilities, with appropriate separation of duties and timely removal.
- **Fraud Readiness.** Fraud risk, prevention, detection, and response assessed so you can stop fraud and act quickly when it occurs.

06 Training & Workshops

Hands-on sessions that build skills for audit teams and the committees that oversee them.

- **Copilot Auditor Training.** Hands-on training for auditors using Microsoft Copilot in planning, testing, and reporting, with the guardrails to use it well.
- **AI Workshop for Auditors.** How AI works, where it creates risk, and how to plan and perform audits of AI.
- **Audit/Supervisory Committee Internal Audit Training.** Internal audit oversight for committee members: roles, reporting, and the questions to ask.

Not sure where to start?

Tell us what is on your mind. A partner will recommend the engagement, or combination, that fits your risks and timeline.

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